# SHRI KRISHNA AYUSH UNIVERSITY KURUKSHETRA

(Established by the state legislature Act No. 25 of 2017) Umri Road, Sector-8, Kurukshetra, Haryana-136118



# **ORDINANCE**

# Degree of Ayurveda Vidyavaridhi {Doctor of Philosophy (Ph.D.) Ayurveda}

ORDINANCE - Degree of Ayurveda Vidyavaridhi (Doctor of Philosophy (Ph.D.) Ayurveda)

#### 1. INTRODUCTION:

#### PREAMBLE:

Shri Krishna AYUSH University is committed to promote research in the field of Indian System of Medicine & Allied Sciences. The Degree of Ayurveda Vidyavaridhi / Doctor of Philosophy (Ph.D.) Ayurveda are the doctorate degree awarded by the University for Original Contribution to knowledge.

The Ph.D degree will be awarded to a candidate, who, as per these regulations, has submitted a dissertation based on original research work done by him / her in any particular discipline or interdiscipline, contributing to the advancement of knowledge or to innovative methods of application of existing knowledge, so as to contribute to the betterment of lives of the people and has had the dissertation approved by designated examiners.

#### SHORT TITLE AND COMMENCEMENT:

These Regulations shall be called Ayurveda Vidyavaridhi / Doctor of Philosophy (Ph.D. Ayurveda) Degree Regulations 2020 of Shri Krishna AYUSH University, Kurukshetra.

These Regulations shall come into force with effect from the date of approval of Executive council or approved under section 13(7) by the Vice Chancellor.

The Regulations framed are subject to modifications from time to time as decided by the Academic Council of the University.

#### Definition

The definitions are:

- i) Interdisciplinary Research: Research conducted by eligible candidate between two or more disciplines or department out of which at least one discipline shall be of the University.
- **ii) Guide/ Research guide:** Guide means the recognized research guide/ guide of this University or other university/college approved by Shri Krishna AYUSH University to supervise the research work of the Ph.D. Scholar.
- **iii) Self Guide:** The self guide is the research scholar who possesses the eligibility criteria defined under this direction and allowed to pursue his research under self guidance.
- iv) Co-guide / Co- research guide: Co-guide/ Co-research guide shall be similar to that of guide except that he could be either from same specialty or from different specialty, from the same institution or different institution of this University or other University/college, having area of expertise related to the topic of the Ph.D. Scholar.
- v) Full Time Ph.D. Scholar: The research scholar who on selection / deputation from another college/institution and/or is being supported with/without a fellowship/scholarship, pursues his research work only, leading to Ph.D. degree as a full time research scholar without performing any other job or assignment.
- vii) Part Time Ph.D. Scholar: The research scholar who is already in service as a faculty member/employee/scientist in the same or in a different Institutions and pursues his research work leading to Ph.D. degree as a part time Research Scholar in a Ph.D. Study Centre recognized by the University in addition to performing his other duties as teacher/scientist/administrator etc. assigned to him.

#### **Objectives:-**

- i) To award the Degree of Ayurveda Vidyavaridhi / Doctor of Philosophy (Ph.D.) Ayurveda on regular basis, in Ayurveda.
- ii) To promote Research in various system of medicine under AYUSH.
- iii) To promote interdisciplinary research studies

#### Nomenclature of the Degree:-

After fulfilling all the requirements the degree shall be awarded namely Ayurveda Vidyavaridhi / Doctor of Philosophy (Ph.D.) Ayurveda in the concerned discipline / subject. The degree certificate shall include the subject of specialty.

#### 2. CONSTITUTION OF COMMITTEES

The following committees constituted for P.G. courses will govern the Ph.D. course also and the members will be the same –

- a) Board of Research Studies (BRS)
- b) Institutional Ethical Committee (IEC)
- c) University Research Committee (URC)

## 3. ADMISSION PROCESS TO Ph.D COURSE

#### A) Eligibility criteria for enrollment:

A candidate seeking admission to the Ayurveda Vidyavaridhi / Doctor of Philosophy (Ph.D.) Ayurveda programme shall fulfill the following eligibility criteria:

- 1. A candidate having Post Graduate Degree in Ayurveda {MD/ MS (Ayurveda)} in concerned/allied subject from a recognized Institute.
- 2. The preference/ merit will be made as per following order
  - a) Teaching Staff of Shri Krishna Govt. Ayurvedic College/ Shri Krishna AYUSH University, Kurukshetra.
  - b) Candidates passed RET.
  - c) Candidates passed in AYUSH-NET.
- 3. SC/BC reservation policy will be adopted as per Haryana Govt. Norms.
- 4. Candidates who have obtained their degree/s through correspondence course/s are not eligible.

#### B) Procedure for Admission to Ph.D. Degree:

The admission to the Ph. D. programme shall be either through the Research Entrance Test (RET) or through direct admission. The application form shall be the same for both these types of candidates. The candidates who are eligible for direct admission shall indicate in the form that they are exempted from RET.

#### i) Research Entrance Test (RET):

- a) The University may publish a notification submitted by the concerned departments through advertisement in at least two national newspapers and on university website portal.
- b) The University shall organize a written Ph.D. Entrance Test (PET) for the purpose of admission to Ph.D. Programme for all the Full time as well as Part time Ph.D candidates.
- c) The application form can be downloaded from University website <u>www.skau.in</u> / <u>www.skau.ac.in</u> and shall be accompanied by prescribed fee along with the relevant certificates.
- d) NOC from the Head of Institute concerned shall be mandatory.
- e) Incomplete application received by the university shall be rejected and no correspondence shall be entertained by the University.
- f) Admission shall be made through an Entrance Test and Interview.

g) The Entrance Test shall consist of two papers and personal interview as viva voce on research proposal.
ttern of question paper as stated below:

Pattern of question paper as stated below:-

	Particulars	Marks	Duration
Paper -1	Research Methodology & General Aptitude	50	1 Hour
Paper -2	Subject knowledge test (based on post graduate specialization)	50	1 Hour
	Personal interview/ Viva Voce on research proposal	50	
	Total	150	

The entrance test for the PhD programme shall be of multiple choices Questions for100 marks and of 2 hours duration. The candidate will also have to give a presentation on the proposed research topic and Personal interview. The minimum passing marks for the entrance test, presentation and personal interview is 50%.

#### i) Direct Admission (Exemption From Entrance Examination)

- a) Candidates who have qualified AYUSH NET shall be exempted from the entrance examination during the period of validity of the concerned test.
- b) Regular employee (In service- Applied for Internal part time Ph.D. program) of Shri Krishna Govt. Ayurvedic College/SHRI KRISHNA AYUSH UNIVERSITY Kurukshetra, Haryana will be exempted from the entrance examination.

#### ii) Declaration of result

The University will publish the common merit list within 30 days of Ph.D. Entrance Test on its official website. i.e. <u>www.skau.in</u> / <u>www.skau.ac.in</u>

#### iii) Admission, payment of fees & Allocation of Research Guide:

- a) After declaration of Entrance Test result, qualified candidates are required to submit registration form along with registration fee as prescribed by the University within two weeks from the date of result declared.
- b) The process of admission completes only when a selected candidate has deposited the prescribed fees for Ph.D. registration.
- c) After submission and verification of all eligibility documents and payment of the prescribed fee by the due date, the provisional admission would be confirmed by office of Dean Academic Affairs.
- d) If the candidate fails to submit his joining report and prescribed fee within 15 days, it will be assumed that the candidate is not interested in the Ph. D. registration. Ph.D. Registration deemed to be considered as cancelled.

Communication in this regard shall be issued by the Head of the Institution and with information to the university.

- e) The allocation of Research Guide for a selected research scholar shall be decided by the University depending on the number of scholars per Research Guide, the available specialization among the Research Guide and research interests of the scholars as indicated by them at the time of interview / viva voce.
- f) All Registration forms received from the candidate at the University shall be forwarded along with the list of guide allotted to the concerned Head of the Institute for further process.

#### **Research Plan Proposal:**

- (1) The Dissertation protocol must be presented and discussed in the meeting of the department concern to ensure that the design of protocol fulfills the statistical requirements, before it is finalized. The need for consideration of the protocol by Institutional Ethics Committee must be considered.
- (2) The Head of the Institution, while forwarding the protocols to the University shall certify that the Dissertation protocol (synopsis) fulfills all the requirements stipulated by the faculty of Ayurveda of the University.
- (3) The University shall put the protocol before the Institutional Ethics Committee (IEC) to obtain clearance from it before the consideration of University Research Committee (URC) for giving approval.
- (4) In case there is any observation(s) of the University Research Committee (URC). The protocols would be referred back to supervisor with the observations of University Research Committee (URC), through Head of Institution, for suggested clarifications/modifications within specified time frame. The Dissertation-protocols, revised Dissertation-protocols and related matters would be re-submitted to BRS for consideration and approval.
- (5) Once the title for dissertation is approved by the University Research Committee (URC) of the University, the student shall not be allowed to change the title of the proposed theme of work without permission of the University.
- (6) Ten copies of the title of the dissertation along with the synopsis be submitted to the University
- (7) After approval of University Research Committee the same will be sent to the Controller of Examination for approval of the Vice-Chancellor.
- (8) The University shall display the approved synopsis of dissertation on their website.

#### 4. DURATION

(1) Ph. D. programme shall be for a minimum duration of three years, including Course work. If a candidate fails to submit Dissertation at the end of stipulated time, he/ she may grant an extension of upto 2 years (One year at a time) for the submission of Dissertation. If a candidate fails to submit the Dissertation within the extended period he/ she may be given additional one year extension for submitting the Dissertation thus the total period for submission of Dissertation counted from the date of his/ her admission does not exceed six years. The actual term of selected Ph. D. scholar shall be deemed to start from the date of provisional registration.

- (2) After extended period no further extension shall be granted at any circumstances and if the Ph.D. Scholar wishes to pursue the Ph.D. course, he shall have to register again as a fresh candidate following due procedure including Ph.D. Entrance Test.
- (3) Research Scholar admitted as full time can be converted into Part time in special circumstances after approval of the University.
- (4) Provided further that, women candidates may be granted Maternity & other related leave as per Haryana Govt. Norms.
- (5) Normally, a candidate shall be required to complete his research under the direct supervision of his guide or Research Guide. However, a candidate who is a full time teacher or the person in service shall be permitted to complete the research work at his college or place of his duty or appointment. In such condition, it shall be mandatory for such teacher candidate or person in Government / Private service to work at least 90 days in the full tenure of the Ph. D. course, by way of leave / vacation / deputation, etc. under direct supervision of his guide or research guide before submission of the final dissertation. The certificate from the guide or research guide regarding mandatory attendance of the Ph. D. scholar, along with signature of Head of Institution shall be pre-condition for submission of final dissertation through the Institution.
- (6) Regular teaching faculty of Shri Krishna Govt. Ayurvedic College /University, Kurukshetra shall be exempted from 90 days of attendance.
- (7) Notwithstanding anything contained in this Ph. D ordinance or in any other Rule or Regulation, for the time being in force, the University shall not conduct Ph. D Programme through distance education mode.

#### CATEGORY OF Ph.D CANDIDATE

Candidates admitted to the PhD programme at Shri Krishna AYUSH University may be categorized as follows:

#### Full Time:

Full time Research Scholars are those who will be able to commit themselves fulltime to carry out their research in the campus with or without scholarship.

#### External Part time:

External candidates are those who are employed. Such candidates must submit No Objection Certificate from their employer which expressly undertakes to relieve the candidate to enable him / her to complete the Course Work.

#### Internal Part time:

In service Regular teaching faculty of Shri Krishna Govt. Ayurvedic College/Shri Krishna Ayush University, Kurukshetra shall be treated as internal part time Ph.D. candidate.

#### 5. ELIGIBILITY CRITERIA FOR RESEARCH GUIDE/GUIDE, CO- GUIDE:

Eligibility criteria of Research Guide recognized by University:

- (1) A regular, full time teacher- Professor, Associate Professor and Assistant Professor of the concerned or allied subject / department of the Shri Krishna Govt. Ayu. College/ Shri Krishna AYUSH University:
  - a) Professor, obtaining postgraduate degree, with Ph.D degree, in concerned or allied subject.
  - b) Professor, obtaining postgraduate degree, without Ph.D degree, and having 05 years of teaching experience as a Professor.
  - c) Associate professor, obtaining post graduate degree, with Ph.D. Degree having 03 years of PG Teaching Experience or 05 years of Teaching Experience.
  - d) Assistant professor, obtaining post graduate degree with Ph.D degree having 08 years of Teaching Experience including 03 years of PG Teaching Experience or 10 years of Teaching Experience.
- (2) Scientists/Scientific Officers/Research Officers / Research Fellows, who are appointed for a period of not less than 5 years under research projects in the University and who hold Ph. D. degree shall also, are eligible to guide Ph. D. scholars with prior approval of Vice Chancellor and Board of Research/URC.
- (3) Research, Academic and Non-Academic Officers of the University, who hold Ph. D. degree, may also be consider for appointment as guide/ co guides of Ph.D. scholars provided the professional qualification and experience of such officers is found to be academically relevant to the research area of the Ph.D. scholar by the concerned Board of Research/URC.
- (4) A faculty member who has two years of services before the retirement can be allowed to enroll/supervise a research scholar and can continue to be the guide of already registered candidates even after his/her retirement provided the Board of Research/URC are convinced of his/her availability for continued guidance to the candidate. After superannuation, the Guide shall not be entitled for any remuneration.
- (5) Only a full time regular teacher or employee of the University can act as a research guide/guide. However guide/co-guide can be allowed in interdisciplinary areas from other departments of the same institute or from other related institution with the approval of the committee.
- (6) The approved or recognized Ph. D. guide or research guide of the University shall be eligible to guide Ph. D. scholars till he attains the age of 65 years, or as amended by the University, from time to time.
- (7) External guide from any recognized University can also apply for the Guide ship of Ph.D. candidate. Any eligible teacher intended to become Ph.D guide or guide shall apply in the format at along with the required documents, through the dean or principal of the college/institution.
- (8) Shri Krishna AYUSH University (SKAU) professors emeritus/ UGC Scientists/Fellows appointed by the University or any national organizations shall be eligible to guide Ph.D. scholars, provided their tenure of appointment left in the University as professors emeritus/scientist/fellows is for a period not less than two years at the time of enrolment of research scholar(s).
- (9) The university signs memorandum of understanding (MOU) with reputed educational institutions and research institutions. The approved Ph.D. guides working in such institutions are allowed to guide Ph.D. scholar. The research

scientist holding Ph.D. degree in such institutions with 5 years of research experience is allowed to become guide.

- (10) If there is a collaborative research work being carried out by any Department of the University and an outside institution and if the major work of the collaborative research work is being done in the outside institution, then the guide shall be from that institution and the co-guide shall be from within the concerned department of the University. Similarly, if the major work is being done in the concerned department of the University, then the guide shall be from the concerned department of the University and the co-guide shall be from the concerned institution.
- (11) After getting approval of the Dissertation protocol from URC/ IEC the Guide will continue to supervise the candidate till the completion of research work irrespective of his/ her shifting from the University.
- (12) The teachers of the University shall also be permitted to guide Ph. D. scholars admitted in other Universities of National importance, provided that :
  - (i) There is a vacancy with the guide under whom the candidate Intends to work
  - (ii) The sponsoring university seeks prior permission in the matter and the concerned Board of Research/URC approves it.

#### Quota of Ph. D. Scholars:

The maximum number of candidates who can be supervised by a faculty member at any time shall be as follows: (cannot be admitted more than 50% in one academic year)

> Professor – 8, Associate Professor – 6, Assistant Professor – 4,

#### Eligibility criteria for Co-Guide:

- a. Any recognized Guide of this University, or any other University or any expert in the concerned field as approved by the University shall be the Co-Guide.
- b. Qualification of Co-guide will be the same as that of the Research Guide.
- c. In exceptional cases a faculty with PG Degree & having good experience but without PhD may also be considered as Co-guide subject to approval of the Committee.

#### Eligibility criteria for Self Guide:

- a) Twenty years approved teaching experience as Assistant Professor and above, out of which 15 years as a Post Graduate recognized teacher of the University.
- b) Minimum 10 Research Publications in National / International journals.

#### Change of Guide

The change of Guide is permissible only after the approval of URC.

#### 6. COURSE WORK:

The entire Course work is divided into 2 parts:

- i) Pre Ph.D. Course work
- ii) Dissertation submission & Evaluation of Dissertation

#### i) Pre-Ph. D. Course work :

The Pre Ph.D course work is compulsory.

- a. After registration and within six months from the date of final registration, the Ph.D. Scholar registered for Ph.D. Course shall need to undergo a **Pre-Ph.D. Orientation Course** organized by the University from time to time.
- b. After completion of Pre-Ph.D. course, the scholar has to appear for the Pre-Ph.D. examination.
- c. The research scholar shall secure minimum 50% marks to be considered eligible to proceed with the research work.
- d. The registration of candidates for Ph.D. programme shall be consider as provisional till the candidate successfully completes Pre-Ph.D. course work of one semester duration. (Six months).

### The Pattern of Pre-Ph.D. Examination:

- a. Paper I Research Methodology and Biostatistics 50 Marks
- b. Paper II Recent Advances in the concerned specialty 50 marks
- c. Paper III Presentation & Discussion 50 Marks
  - (Relevant to the Research topic for Ph. D.)

#### In case of failure in Pre- Ph.D exam:

- 1. If any research scholar fails to qualify in the Pre-Ph.D. Course Examination, the candidate should undergo the course for a further period of six months. At the end of the prescribed period, he/she shall be examined again, if found fit, he/she shall be permitted to proceed with the research work.
- 2. A research scholar who does not qualify even at the second attempt shall not be permitted to continue his/her research work and his/her provisional registration shall be cancelled by the University.
- 3. The Pre-Ph.D. examination shall be held twice in a year.

#### ii) Submission and Evaluation of Dissertation:

- a. Prior to the submission of the dissertation, the scholar shall make a presentation in the College / Institute before the Head of the Institution and all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft synopsis/dissertation in consultation; the candidate shall be eligible to submit the final dissertation.
- b. The candidate shall submit five hard copies of the final dissertation along with two compact disks containing soft copies in PDF format, and 10 copies of the summary of the dissertation along with a Certificate for successful Pre-submission seminar, along with a covering letter by the head of the Institution.
- c. The color of the title cover of the Dissertation shall be Saffron.
- d. The dissertation shall be typed in Times New Roman font, in the font size 12, in one & half spacing on A – 4 size paper. It shall be well bound. Spiral binding dissertation shall not be accepted. For Devanagari scripts Kritidev10 fonts in the font size of 14 with 1.5 line spacing should be used.
- e. The prescribed fees for evaluation shall be paid by the candidate at the time of submission of his Ph. D. dissertation. The dissertation shall include Certificate from the Research Guide or Research Guide and a

declaration from the Ph. D. scholar as well as the Guide stating that the work reported in the dissertation is original work carried out by the Ph. D. scholar himself and that the Research work from other sources has not been included, except the citation or examples quoted. The dissertation shall also include a Certificate of the guide and a declaration by the candidate that there is no plagiarism.

- f. The material which has been obtained from the other sources shall be duly acknowledged in the dissertation by the Ph. D. scholar. If the material obtained from the other sources has not been duly acknowledged, then he shall be held responsible for Plagiarism.
- g. Ph.D. scholars shall publish at least one research paper in Refereed journal and make two paper presentations in conferences/seminars before the submission of the Ph. D dissertation and produce evidence for the same in the form of presentation certificates and/or reprints, before the submission of Ph. D. dissertation.

#### 7. LEAVE AND ATTENDANCE:

#### Leave Rules: For full time Ph. D scholars:

- 1. A Ph. D. scholar shall be eligible to avail a casual leave of 10 days and noncumulative medical leave of 10 days in an academic year. He/ She may be given academic leave of 20 days per year to attend the seminars/ workshop etc.
- 2. He/she shall not be entitled for any inter-semester breaks, winter and summer vacations.
- 3. However, he/she is entitled for an additional leave of up to 10 days on medical grounds in an academic year. The aforesaid leave provisions are cumulative.
- 4. Further, female candidates shall be eligible for maternity and other related leaves as per Haryana Government rules.
- 5. The leave shall be granted by the Head of the Department/Coordinator of the Institute/Centre on the recommendation of the guide/co-guide.

#### Attendance Requirements:

- 1. A full time regular candidate is required to sign on all working days in an attendance register to be kept in the concerned Department/Institute/Centre, except when he/she is on duty/sanctioned leave.
- 2. External part time candidate shall be required to complete his research under the direct supervision of his guide or research guide. However, a candidate who is a full time teacher or the person in service shall be permitted to complete the research work at his college or place of his duty or appointment. In such condition, it shall be mandatory for such teacher candidate or person in Government / Private Service to work at least 90 days in the full tenure of the Ph. D. course, by way of leave / Vacation / deputation, etc. under direct supervision of his guide or research guide before submission of the final dissertation. The certificate from the guide or research guide regarding mandatory attendance of the Ph. D. scholar, along with signature of Head of Institution shall be pre-condition for submission of final dissertation through the Institution.
- 3. Regular teaching faculty of Shri Krishna Govt. Ayurvedic College/SHRI KRISHNA AYUSH UNIVERSITY, Kurukshetra shall be exempted from 90 days of attendance.

The attendance requirements and leave rules shall be applicable to the external part-time research scholars also during their stay in the University.

#### Progress Report:

- a. The URC shall monitor the academic/research progress of each Candidate. For this purpose, the candidate shall submit a progress report on a prescribed format in triplicate, at the end of each semester to the Head of the Institution through HOD.
- b. The candidate shall make presentation on the progress of his/her research work through a seminar and the Head of the Institution along with other members shall evaluate the progress made by the candidate and submit its recommendations to the concerned URC.
- c. Progress of a candidate in any semester shall be deemed to be unsatisfactory if the candidate is absent for a period more than that specified.

#### 8. EXAMINATION:

#### Appointment of Examiners:

- a. The HOD shall propose a panel of examiners of at least eight experts from within the Country in the area of the Ph. D. dissertation for consideration of URC immediately after the pre-submission seminar of the candidate.
- b. The panel shall not include the names of the guide and co-guide. In the case of a research scholar who has done any part of his/her work in another institution, the panel of examiners shall not include any person working in that institution. The panel shall not include the name/names of any person/persons with whom the candidate has published a research paper.
- c. The Panel of Examiners shall be considered and approved by the concerned URC. All the examiners as listed in the panel shall be from the specific area of research work and if required, the URC may modify the panel.
- d. The Controller of Examinations shall communicate each examiner, by e-mail or by post or by telephonic communication or by any means as approved by the University. It shall be mandatory for the Examiner to communicate acceptance or non acceptance of his appointment to the Controller of Examinations. If no communication is received from the examiner within a period of two weeks from the date of communication, it shall be treated as cancellation of his appointment and the University shall appoint another examiner from the existing panel of examiners.
- e. In case of acceptance of examiner-ship for assessment, the Controller of Examinations shall forward the dissertation along with summary thereof to such examiners.

#### Examiners report:

a. The respective examiner shall independently send his dissertation assessment report, to the Controller of Examinations within sixty days from the date of receipt of the dissertation. If any examiner fails to do so, the Controller of Examinations shall, immediately, after the expiry of the said period, request him to submit the report within a period of fifteen days. If the concerned examiner fails to comply within the extended period, the Vice-Chancellor shall cancel his appointment and a new Examiner shall be appointed from the existing panel of Examiners.

- b. The examiners shall submit the dissertation assessment report and shall make one of the following recommendations, namely :
  - a) The dissertation is acceptable for the award of the Ph. D. degree

b) That the dissertation is acceptable, subject to revision of certain points as suggested by the Examiner.

c) The dissertation is not acceptable, in which case the examiner must mention precise reasons in writing, for the non-acceptance.

- c. In the case of suggestions by the examiner(s), the candidate shall advised to revise the dissertation, in the light of points raised in the dissertation assessment report and to re-submit it to the University, within the period of three month for its further submission to the examiners who have suggested the changes. The examiners shall re-submit their report.
- d. If both the external examiners recommend for acceptance of the dissertation for the award of the Degree, the dissertation shall be accepted and it shall be processed for Open Viva Voce and Defense of the dissertation.
- e. In case, out of the two external examiners one of the external examiners has given an unfavorable or non-acceptance or rejection report. Then, the Vice-Chancellor shall get the dissertation examined by an additional examiner, from the panel of examiners. If the additional examiner also gives an unfavorable or non-acceptance or rejection report, the candidate shall be deemed to fail and it shall be informed accordingly to the candidate and then the registration of the candidate shall be declared ineligible for the award of the Degree.
- f. Review of examiners report in case the Dissertation is rejected, the Vice-Chancellor shall have the power to constitute an independent three member review panel to investigate the cause of rejection of dissertation. The review panel shall examine the research work and the examiners" report thereon to submit their findings to the Vice Chancellor for future improvements. It may also examine the matter to suggest as to whether the research scholar can further work on the same topic to rebuild his/her initial work and the correct course of action for achieving that and time frame needed for resubmission of his/her dissertation without exceeding the total time period prescribed for submission of dissertation in these ordinances.
- g. After the reports from both the examiners are received, the Controller of Examinations shall inform the guide and co-guide (if any) for appropriate action.

#### 9. OPEN VIVA VOCE AND DEFENSE OF THE DISSERTATION:

On receipt of positive recommendation reports from any two examiners for the award of Ph.D. Degree the University shall appoint a Viva-voce committee as follows –

- a) The expert appointed by Vice-Chancellor who shall be the chairman of the committee.
- b) Guide of the candidate who shall be the convener cum internal examiner.

- c) One external examiner who has examined and recommended acceptance of the Dissertation.
- d) Two members nominated by the academic council.
- A. The Controller of Examinations shall communicate with the guide and the co-guide (if any), for conducting the Viva-Voce.
- B. Candidate shall be required to defend his/her dissertation in a Viva-Voce, before Viva-Voce Committee (VVC).
- C. The date, time and venue of the Viva-Voce with other necessary details shall be adequately notified so as to enable other faculty members and students to attend it.
- D. In the case of external candidates, the external guide and co-guide, if any, may be invited for the Viva-Voce as examiner(s).
- E. In the case of non-availability of the External Examiner for conducting the Viva-Voce, the Vice-Chancellor may appoint another examiner.
- F. The VVC shall be provided with the reports of all the examiners before the Viva-Voce.
- G. The VVC shall submit a comprehensive report on the performance of the candidate at the Viva-Voce, including the discussions over various points raised. The VVC shall recommend one of the following:
  - a. That the degree be awarded,
  - b. That the candidate be re-examined in a second Viva-Voce,
  - c. That the degree is not awarded and the dissertation be rejected.
- H. If the VVC recommends that the degree be awarded, the candidate shall submit two hardbound copies of the dissertation, along with 2 corresponding soft copy versions of the dissertation in CDs, one for keeping in the SHRI KRISHNA AYUSH UNIVERSITY library of the University and the other for the departmental library. The spare copies of the dissertation may be returned to the candidate.
- I. If the VVC recommends for a second Viva-Voce, it shall be conducted normally after a period of three months but within six months from the date of the first Viva-Voce.

#### 10. AWARD OF Ph.D DEGREE:

- a. URC shall give final recommendations for the award of a PhD degree on the basis of performance in the viva voce examination and the examiners' reports.
- b. The Registrar shall publish the result and issue provisional degree in accordance with the decision of the Chairperson, Academic Council.
- c. The year of award of the Ph. D. degree shall be the same as the year of submission of the dissertation, if the dissertation is accepted without revision. If the dissertation is recommended for revision, the year of award of the Ph. D. degree shall be the year of submission of revised dissertation.
- d. The Ph. D. degree certificate shall mention the title of the dissertation, the discipline/ subject of Ph.D. of his/her research and the Department/Institute/Centre in which the candidate was admitted for the Ph. D. program.

#### 11. FEES PAYABLE:

# Fee Structure for Ph. D Course:

Sr.	Fee	Amount
No.		
1	Registration Fee (One time):	7,500/-
	(15%) of the Annual Tuition Fee,	
	Payable for the first year only	
2	Annual Tuition Fee (Per year)*	
	a) For Internal Candidates of Shri	5,000/-
	Krishna AYUSH University.	
	b) For Others	50,000/-
3	Pre Ph. D Course Fee	20,000/-
4	Thesis Submission Fee	10,000/-
5	Final Examination Fee	10,000/-
6	In addition to Annual Tuition fee of Rs.	1 <sup>st</sup> year = 10,000/-
	50,000/- per year the following	2 <sup>nd</sup> year = 20,000/-
	extension fee shall be paid.	$3^{rd}$ year = 30,000/-

Change in fee structure may be amended by University from time to time. \*(Approved by Vice-Chancellor, in anticipation of approval of Executive Council, vide orders dated 07.12.2020)

#### **12. REDRESSAL OF DISPUTE:**

In case the Research scholar is unable to do his Research work due to any dispute between a Research scholar and his guide, or the dispute regarding admission or any other dispute concerned with research work, the Redressal Committee consisting of the following, shall examine the matter after hearing both the parties and report to the Vice Chancellor, whose decision shall be final, namely –

- a. The Dean of the concerned faculty Chairman
- b. One subject Expert, to be nominated by the Vice Chancellor-Member
- c. One member of Academic Council nominated by the Vice Chancellor-Member
- d. Registrar Member Secretary

#### 13. UNFAIR MEANS AND PLAGIARISM:

- a. In case a Ph. D. candidate is found adopting or suspected of adopting unfair means or lifting of other's work and inserting it in his/her work without proper acknowledgement, credit and reference, such penal action shall be taken by the University as may be necessary to uphold the sanctity and the integrity of the examination system/research work, and the credibility of the University.
- b. The University Standing Committee appointed by the Academic Council for this purpose shall take *suo-moto* cognizance of all such cases.

#### 14. FINANCIAL ASSISTANCE (scholarship):

a. The Ph. D. candidates, who are admitted as full-time research scholars may/ may not be entitled to university scholarship of the amount as may be decided by the Institute/Faculty/University and/or directed by the Government of India from time to time.

- b. This award shall be strictly subject to the fulfillment of all the conditions governing such awards.
- c. The maximum duration for scholarship which can be awarded to any Ph.D. student is 36 months.
- d. The award shall be on year-to-year basis subject to the satisfactory academic and research performance and satisfactory performance in the discharge of responsibilities assigned to him/her under the scheme.

#### 15. CONCLUSION:

- a. Notwithstanding anything contained in these Ordinances all categories of the candidates shall be governed by the rules and procedures framed by the Academic Council, and are in force at that point of time.
- b. Any doubt or dispute about the interpretation of these Ordinances shall be referred to the Vice-Chancellor, whose decision, in his capacity as the Chairman, Academic Council, shall be final.

#### The Vice-Chancellor is authorized to:

- i) Modify, amend and/or delete any of the clauses given in the Ordinances or add any clause(s) to these Ordinances, which shall be reported to the Academic Council at its next meeting for approval.
- ii) Order a special procedure for the evaluation of a Ph. D. dissertation to protect the work of classified nature involving national security and sovereignty and/or to protect the intellectual property rights of the candidate, the guide and the University.
- iii) Relax any of the provisions laid in these Ordinances for handling circumstances not covered by these Ordinances.